IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Alex Behfar et al)
Application No.: To Be Assigned (Div. of 09/955,123)) Group Art Unit)
Filed: Even date herewith) Examiner
For: MONOLITHIC THREE-DIMENSIONAL STRUCTURES)))
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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 CRF 1.97 and 1.98, applicant hereby makes of record the documents listed on the attached PTO form 1449. A copy of each document may be found in parent application No. 09/955,123, now pending in Group Art Unit 2823.

Respectfully submitted,

JONES, TULLAR & COOPER, P.C.

George M. Cooper

Reg/No. 20,201

JONES, TULLAR & COOPER, P.C. P.O. Box 2266 Eads Station Arlington, VA 22202 (703) 415-1500 July 17, 2003

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Substitute for form 1449A/PTO				Co	omplete if Known
	001147:01	_	001 001105	Application Number	T.B.A.
			SCLOSURE	Filing Date	Even Date Herewith
STATEMENT BY APPLICANT				First Named Inventor	Alex Behfar
				Group Art Unit	2812
(use as many sheets as necessary)			s necessary)	Examiner Name	
Sheet	1	of	1	Attorney Docket Number	BIN-5/DIV

				U.S. PATENT DOCL	JMENTS	-
Examiner Initials	Cite No.1	U.S. Patent I Number	Oocument Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,114,257		Bellavance	09/19/1978	
		4,124,270		Cheo	11/07/1978	
		4,851,368		Abbas Behfar-Rad et al	07/25/1992	
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		6,465,157		Li et al	10/2002	

				FORE	GN PATENT DOCUMEN	rs		
		F	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Examiner Cite 1 Initials No.1	Office ³	Number ⁴	Kind Code ⁵ (<i>if known</i>)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ₆	
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Examiner	Date	
Signature	Considered	

¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



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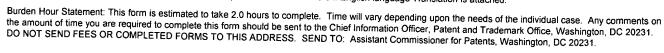
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Substit	ute for form 1449B/PTC)		Complete if Known			
INFORMATION DISCLOSURE				Application Number	TBA		
				Filing Date	Even Date Herewith		
STATEMENT BY APPLICANT				First Named Inventor	Alex Behfar		
				Group Art Unit	2812		
(use as many sheets as necessary)		Examiner Name					
Sheet	1	of	0	Attorney Docket Number	BIN-5/DIV		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		BEHAFAR-RAD, a.; BALLANTYNE, J.M.; WONG, S.S., "AlGaAs/GaAs-based triangular-shaped ring ridge lasers," Applied Physics Letters, American Institute of Physics. Vol. 60 (No. 14), p. 1658-1660. (April 6, 1992). BEHFAR-RAD, A.; WONG, S.S., "Monolithic AlGaAs-GaAs Single Quantum-Well Ridge Lasers Fabricated with Dry-Etched Facets and Ridges," Journal of Quantum Electronics, JEEE, Vol. 20 (No. 5).	X
	_	Electronics, IEEE, Vol. 28 (No. 5), p. 1227-1231, (May 23, 1992)	X
xaminer		Date	
Signature		Considered	

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